



CHAPTER 78D
ARTICLE 1 - SCOPE

N.C.G.S. § 78D-6.

Fraudulent conduct.

SOURCE General Statutes of North Carolina, as published by the General Assembly	LAST AMENDMENT IN THIS TEXT c. 634, s. 1 — first act in the lineage	RETRIEVED 21 September 2026, 04:06 UTC	SOURCE FINGERPRINT 124771657a6b1835a6045bf4 - b95198b359834e3e3cfd0a93 - 1c0e362ba7abf449
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No person, shall directly or indirectly:

Cheat or defraud, or attempt to cheat or defraud, any other person or employ any device, scheme or artifice to defraud any other person;

Make any false report, enter any false record, or make any untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading;

Engage in any transaction, act, practice or course of business, including, without limitation, any form of advertising or solicitation, which operates or would operate as a fraud or deceit upon any person; or

Misappropriate or convert the funds, security or property of any other person;

in or in connection with the purchase or sale of, the offer to sell, the offer to purchase, the offer to enter into, or the entry into of, any commodity contract or commodity option subject to the provisions of G.S. 78D-2, 78D-3, 78D-4(a)(2) or G.S. 78D-4(a)(4) of this Chapter.

END OF SECTION TEXT

APPARATUS FOLLOWS — DERIVED, NOT ENACTED

APPARATUS I
1 ACT

Amendment lineage

The State prints this history as a single parenthetical at the foot of the section. It is reproduced verbatim in the colophon and unpacked below in order of enactment.



Nº	YEAR	ACT	CHARACTER
01	1989	c. 634, s. 1	ENACTED

APPARATUS II

2 AUTHORITIES

Authorities referenced

Every G.S. cross-reference appearing in the text of this section, in order of first appearance. Nothing is characterized; the operative language is quoted from the section itself.

REFERENCE	APPEARS IN	OPERATIVE LANGUAGE IN THIS SECTION
G.S. 78D-2		<i>"option subject to the provisions of"</i>
G.S. 78D-4(a)(4)		<i>"of G.S. 78D-2, 78D-3, 78D-4(a)(2) or"</i>

APPARATUS III

Citation

FULL SECTION

N.C. Gen. Stat. § 78D-6 (1989).

Pinpoint keys are set in the left margin of the text rather than line numbers: subsection designators are stable across editions and paper sizes, line numbers are not.

COLOPHON

Provenance

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HISTORY PARENTHETICAL, AS PRINTED BY THE STATE
(1989, c. 634, s. 1.)

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NORTH CAROLINA LAW — STATUTE EXPORT, FORMAT V1

