



CHAPTER 78A
ARTICLE 6 - ADMINISTRATION AND REVIEW

N.C.G.S. § 78A-45.

Administration of Chapter.

SOURCE	LAST AMENDMENT IN THIS TEXT	RETRIEVED	SOURCE FINGERPRINT
General Statutes of North Carolina, as published by the General Assembly	S.L. 2020-74, s. 23(a) — sixth act in the lineage	21 September 2026, 06:14 UTC	e8e4efaaa32ee008c1cfec87 - 29512fa51e9364a1486d03b2 - 0e3833b2f88ae0b9

- § 78A-45(a)

This Chapter shall be administered by the Secretary of State. The Secretary of State as Administrator may delegate all or part of the authority under this Chapter to the Deputy Securities Administrator including, but not limited to, the authority to conduct hearings, make, execute and issue final agency orders and decisions. The Secretary of State may appoint such clerks and other assistants as may from time to time be needed. The Secretary of State may designate one or more hearing officers for the purpose of conducting administrative hearings.
- § 78A-45(b)

It is unlawful for the Administrator or any of his officers or employees to use for personal benefit any information which is filed with or obtained by the Administrator and which is not made public. No provision of this Chapter authorizes the Administrator or any of his officers or employees to disclose any such information except among themselves or when necessary or appropriate in a proceeding or investigation under this Chapter. No provision of this Chapter either creates or derogates from any privilege which exists at common law or otherwise when documentary or other evidence is sought under a subpoena directed to the Administrator or any of his officers or employees.
- § 78A-45(b1)

It is the policy of this State that an investor's financial information should be treated as confidential and unavailable for inspection or examination by members of the public under G.S. 132-6.
- § 78A-45(c)

All fees provided for under this Chapter shall be collected by the Administrator and shall be paid over to the State Treasurer to go into the general fund.

END OF SECTION TEXT

APPARATUS FOLLOWS — DERIVED, NOT ENACTED

APPARATUS I
6 ACTS

Amendment lineage

The State prints this history as a single parenthetical at the foot of the section. It is reproduced verbatim in the colophon and unpacked below in order of enactment.

	1925		1973				2020
	TICK HEIGHT = ACTS IN THAT YEAR		DASHED = RECODIFICATION, NOT AMENDMENT				
Nº	YEAR	ACT	CHARACTER				
01	1925	c. 190, ss. 20, 21	ENACTED				
02	1927	c. 149, ss. 20, 21	AMENDED				
03	1973	c. 1380	AMENDED				
04	1983	c. 817, s. 17	AMENDED				
05	2001	S.L. 2001-126, s. 9	AMENDED				
06	2020	S.L. 2020-74, s. 23(a)	AMENDED				

APPARATUS II
1 AUTHORITY

Authorities referenced

Every G.S. cross-reference appearing in the text of this section, in order of first appearance. Nothing is characterized; the operative language is quoted from the section itself.

REFERENCE	APPEARS IN	OPERATIVE LANGUAGE IN THIS SECTION
G.S. 132-6	(b1)	"by members of the public under"

APPARATUS III

Citation

FULL SECTION

N.C. Gen. Stat. § 78A-45 (2020).

PINPOINT

N.C. Gen. Stat. § 78A-45(a) (2020).

Pinpoint keys are set in the left margin of the text rather than line numbers: subsection designators are stable across editions and paper sizes, line numbers are not.

COLOPHON

Provenance

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HISTORY PARENTHETICAL, AS PRINTED BY THE STATE

(1925, c. 190, ss. 20, 21; 1927, c. 149, ss. 20, 21; 1973, c. 1380; 1983, c. 817, s. 17; 2001-126, s. 9; 2020-74, s. 23(a).)

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