



CHAPTER 120C
ARTICLE 4 - REPORTING

N.C.G.S. § 120C-403.

Lobbyist principal's reports.

SOURCE	LAST AMENDMENT IN THIS TEXT	RETRIEVED	SOURCE FINGERPRINT
General Statutes of North Carolina, as published by the General Assembly	S.L. 2018-146, ss. 3.1(a), (b), 4.1(e) — fourteenth act in the lineage	21 September 2026, 04:11 UTC	09027b67f5a3d83e866ba533 - 400a1f09a0447135f11e062e - 237ed1afd2ae2cdc

§ 120C-403(a) Each lobbyist principal shall file quarterly reports under oath with the Secretary of State with respect to each lobbyist principal.

§ 120C-403(b) The report shall be filed whether or not reportable expenditures are made, shall be due 15 business days after the end of the reporting period, and shall include all of the following for the reporting period:

- (1) All reportable expenditures made for lobbying.
- (2) Repealed by Session Laws 2018-146, s. 4.1(e), effective December 27, 2018.
- (3) With respect to each lobbyist registered under G.S. 120C-206, reportable expenditures reimbursed or paid to lobbyists for lobbying that are not reported on the lobbyist's report, with an itemized description of those reportable expenditures.
- (4) All reportable expenditures for gifts given under G.S. 138A-32(f)(1)-(9), 138A-32(f)(11), 138A-32(f)(12), and all gifts given under G.S. 138A-32(f)(10) with a value of more than two hundred dollars (\$200.00).
- (5) With respect to each lobbyist registered under G.S. 120C-206, the name of each person or governmental unit not otherwise registered as a lobbyist principal for whom the lobbyist principal directs the lobbyist to lobby, whether for pay or not. If the lobbyist principal is an association or other organization, the lobbyist principal shall not be required to report under this subdivision any individual member of the association or other organization for which the lobbyist is directed to lobby by that lobbyist principal.

- § 120C-403(c)** In addition to the reports required by this section, each lobbyist principal incurring reportable expenditures in any month while the General Assembly is in session with respect to lobbying legislators and legislative employees shall file a monthly reportable expenditure report. The monthly reportable expenditure report shall contain information required by this section with respect to all lobbying of legislators and legislative employees, and is due within 10 business days after the end of the month. The information on the monthly report shall also be included in each quarterly report required by subsection (a) of this section.
- § 120C-403(d)** In addition to the reports required by this section, each lobbyist principal shall annually, in the last report for the registration period under G.S. 120C-200(d), report the cumulative combined total of all payments made during the registration period for all of the following:
- (1) All payments for lobbying.
 - (2) Activities as described in subdivision (g)(2) of this section.
- § 120C-403(e)** The cumulative combined total of payments reported under subsection (d) of this section made during the registration period, as applicable:
- (1) If a lobbyist represents the lobbyist principal, but is not directly employed by that lobbyist principal, the portion of the payment that is for lobbying and to whom it was paid.
 - (2) If a lobbyist is under contract with the lobbyist principal for lobbying, the portion of the contract that is reasonably allocated for lobbying.
 - (3) If a lobbyist is a full-time employee of the principal, or is paid by means of an annual fee or retainer, the principal shall estimate and report the portion of the salary, fee, or retainer salary that is reasonably allocated for lobbying.
- § 120C-403(f)** Notwithstanding any other provision of this Part, the cumulative combined total of all payments for lobbying and other activities made by the principal to all lobbyists registered for that lobbyist principal shall be reported as one cumulative amount with no further division or allocation by individual lobbyist, activity, or any other categorization.
- § 120C-403(g)** For purposes of subsection (d) of this section, the following shall apply:

- (1) A lobbyist principal may rely upon a statement by the lobbyist estimating the portion of the salary or other payment that is reasonably allocated for lobbying.
- (2) In addition to reporting any payment to a lobbyist for lobbying under subsection (d) of this section, a lobbyist principal shall report, cumulatively for the year, any payment to a lobbyist for any of the following communications and activities that were used to lobby within the registration period under G.S. 120C-200(d):
 - a. Research.
 - b. Drafting of written communications.
 - c. Monitoring of proposed or pending legislative action or executive action, including time spent preparing communications with the lobbyist principal to relate information on proposed or pending legislative action or executive action.
 - d. Time spent advising and rendering opinions to the lobbyist principal as to the construction and effect of proposed or pending legislative action or executive action.
- (3) A lobbyist principal is required to report any payment to a lobbyist for any of the following:
 - a. Direct lobbying communications or direct lobbying activities with a designated individual or that designated individual's immediate family.
 - b. Communications or activities to develop goodwill, including the building of relationships, with a designated individual or that designated individual's immediate family member.

END OF SECTION TEXT

APPARATUS FOLLOWS — DERIVED, NOT ENACTED

APPARATUS I
14 ACTS

Amendment lineage

The State prints this history as a single parenthetical at the foot of the section. It is reproduced verbatim in the colophon and unpacked below in order of enactment.

1933		1976	2018
TICK HEIGHT = ACTS IN THAT YEAR DASHED = RECODIFICATION, NOT AMENDMENT			
Nº	YEAR	ACT	CHARACTER
01	1933	c. 11, s. 5	ENACTED

02	1973	c. 108, s. 70	AMENDED
03	1975	c. 820, s. 1	AMENDED
04	1991	c. 740, s. 1.1	AMENDED
05	1991	1991 (Reg. Sess., 1992), c. 1030, s. 51.10	AMENDED
06	1999	S.L. 1999-338, s. 2	AMENDED
07	2005	S.L. 2005-456, s. 1	AMENDED
08	2006	S.L. 2006-201, s. 18	AMENDED
09	2007	S.L. 2007-348, s. 41(c)	AMENDED
10	2008	S.L. 2008-213, ss. 29(a), 90	AMENDED
11	2010	S.L. 2010-169, s. 17(j)	AMENDED
12	2011	S.L. 2011-393, s. 2	AMENDED
13	2017	S.L. 2017-6, s. 3	AMENDED
14	2018	S.L. 2018-146, ss. 3.1(a), (b), 4.1(e)	AMENDED

APPARATUS II

4 AUTHORITIES

Authorities referenced

Every G.S. cross-reference appearing in the text of this section, in order of first appearance. Nothing is characterized; the operative language is quoted from the section itself.

REFERENCE	APPEARS IN	OPERATIVE LANGUAGE IN THIS SECTION
G.S. 120C-206	(b)(3)	<i>"respect to each lobbyist registered under"</i>
G.S. 138A-32(f)(1)	(b)(4)	<i>"reportable expenditures for gifts given under"</i>
G.S. 138A-32(f)(10)	(b)(4)	<i>"138A-32(f)(12), and all gifts given under"</i>
G.S. 120C-200(d)	(d)	<i>"report for the registration period under"</i>

APPARATUS III

Citation

FULL SECTION

N.C. Gen. Stat. § 120C-403 (2018).

PINPOINT

N.C. Gen. Stat. § 120C-403(a) (2018).

Pinpoint keys are set in the left margin of the text rather than line numbers: subsection designators are stable across editions and paper sizes, line numbers are not.

COLOPHON

Provenance

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HISTORY PARENTHETICAL, AS PRINTED BY THE STATE

(1933, c. 11, s. 5; 1973, c. 108, s. 70; 1975, c. 820, s. 1; 1991, c. 740, s. 1.1; 1991 (Reg. Sess., 1992), c. 1030, s. 51.10; 1999-338, s. 2; 2005-456, s. 1; 2006-201, s. 18; 2007-348, s. 41(c); 2008-213, ss. 29(a), 90; 2010-169, s. 17(j); 2011-393, s. 2; 2017-6, s. 3; 2018-146, ss. 3.1(a), (b), 4.1(e).)

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